

# HIST-ETAS models - revisited with emphasis on background seismicity

\*尾形 良彦<sup>1</sup>

\*Yosihiko Ogata<sup>1</sup>

1. 大学共同利用機関法人 情報・システム研究機構 統計数理研究所

1. Research Organization of Information and Systems, The Institute of Statistical Mathematics

It has been passed about 15 years since the hierarchical space-time ETAS (HIST-ETAS) models have been proposed; and the short- and intermediate-term earthquake forecasts in and around Japan using the HIST-ETAS model is under examining for 8 years by the Testing Center of the Collaborative Study of Earthquake Predictability (CSEP).

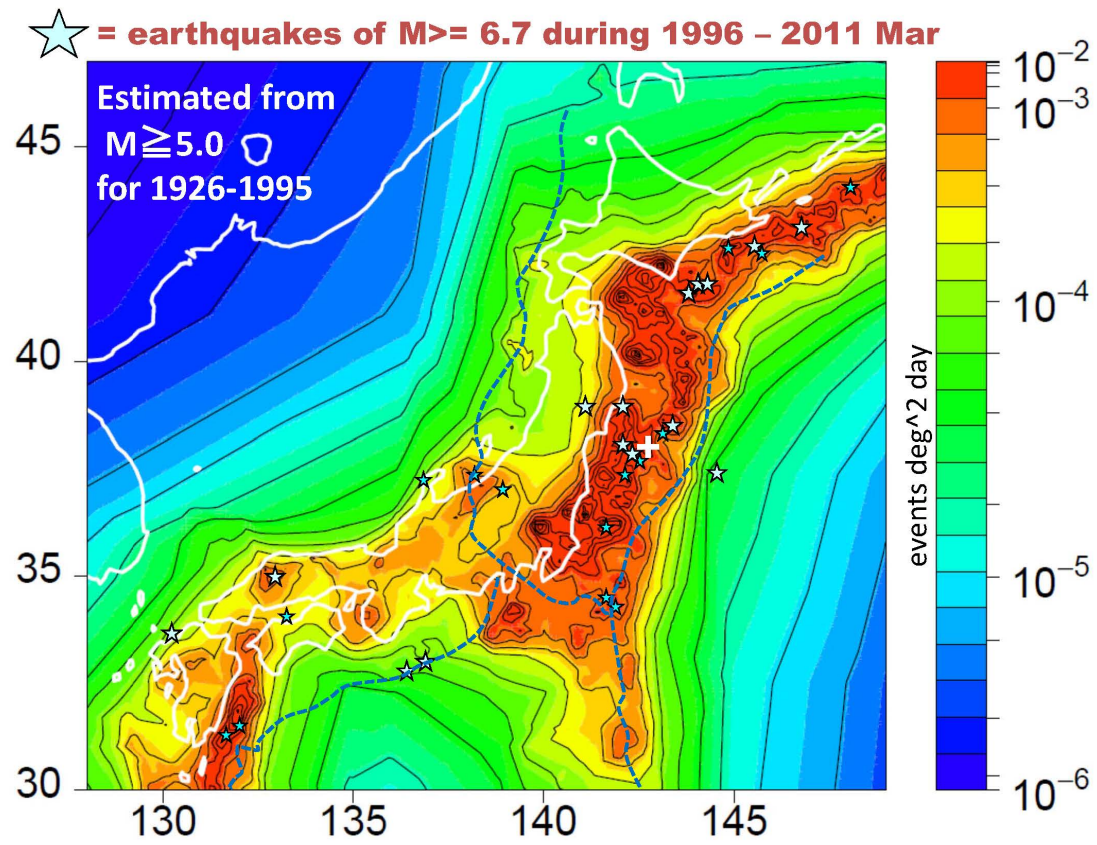
Some parameters of the HIST-ETAS model are characterized by a two dimensional piecewise linear function whose value at any location is linearly interpolated by the values at the nearest three earthquake locations that consists a Delaunay triangle. The solutions of the parameter functions are obtained through the inversion of the log-likelihood function of the space-time earthquake data, with certain smoothness constraints. This is suited not only for high resolution inversion in the region of clustering of earthquakes but also accurate space-time forecast in the active stage of seismicity.

Among the parameters of the HIST-ETAS models, we are primary interested in that of the background seismicity. This values can regionally vary in the range of several orders is in a seismogenic zone, but the solutions are confirmed to be independent of observed periods. Hence this is quite useful for the secular prediction of large earthquake locations, in conjunction with Gutenberg-Richter distribution where the b-value is also location dependent.

In this talk, I will show examples of such forecast in Japan inland and vicinity, California, and the global seismicity. The relevant software codes and manual can be obtained by the request mail to [ogata@ism.ac.jp](mailto:ogata@ism.ac.jp).

キーワード : 時空間 E T A S モデル、デロネ3角形分割、常時地震活動

Keywords: space-time ETAS model, Delaunay triangulation, background seismicity



## 前震活動に基づく地震発生の経験的予測

—前震を伴いやすい5領域および日本内陸地域への適用—

## Empirical forecast of occurrence of mainshocks based on foreshock activities

- Applied to the specific five regions and inland area of Japan -

\*前田 憲二<sup>1</sup>、弘瀬 冬樹<sup>1</sup>

\*Kenji Maeda<sup>1</sup>, Fuyuki Hirose<sup>1</sup>

1. 気象研究所

1. Meteorological Research Institute

### 1. Introduction

Generally it is quite difficult to distinguish foreshocks from background seismicity before a mainshock occurs. However, it is known that some activities like swarms tend to be followed by large earthquakes. We have investigated statistical features of swarm-like activity and searched for the best parameters to define foreshocks. So far, we have reported that such defined foreshock activities are particularly effective for specific three regions in Japan: along the Japan trench, off the Izu peninsula region, and in the north-central Nagano prefecture. In this study we report the current status of prediction performance for these three regions basing on the latest data and also the results for newly investigated regions: the central part of Kyushu and the San-in districts. Besides, we also demonstrate the preliminary results of prediction performance for the inland area of Japan using the temporally applied parameters.

### 2. Method

The method to search for parameters for foreshocks that present high prediction performance consists of four steps. 1) To eliminate small aftershocks from the original data. 2) To define foreshock candidates satisfying the condition that earthquakes of count  $N_f$  with magnitude  $\geq M_{f_0}$  occur in the segment of the size of  $D \times D$  degree (latitude  $\times$  longitude) during the period of  $T_f$  days. 3) To set the alarm period of  $T_a$  days during which a mainshock is expected to occur after a foreshock candidate is found. 4) To search for the values of  $D$ ,  $M_{f_0}$ ,  $T_f$ ,  $N_f$  and  $T_a$  which give high prediction performance for mainshocks with  $M \geq M_{m_0}$  by the grid search method. The prediction performance is measured mainly by  $dAIC$  that is defined as the difference of AIC for a stationary Poisson model and a foreshock-based model mentioned above, and additionally by alarm rate (AR: the fraction of mainshocks alarmed), truth rate (TR: the fraction of foreshock candidates followed by a mainshock), and probability gain (PG: the ratio of mainshock occurrence rate in the predicted space-time to background occurrence rate).

### 3. Data and Results

#### 1) Along the Japan Trench

We applied the above method to the earthquakes in three regions along the Japan trench, i.e., off Iwate, off Miyagi and off Ibaraki, cataloged by JMA. The prediction performance for the latest period from 1961 to 1/31/2017 is expressed as  $AR=27\%$  ( $=13/48$ ) and  $TR=22\%$  ( $=17/77$ ) for  $M_{m_0}=6.0$  by applying the best parameters ( $D=0.5$  degree,  $M_{f_0}=5.0$ ,  $T_f=10$  days,  $N_f=3$ , and  $T_a=4$  days) obtained for the period of 1961-2010.

#### 2) Off the Izu Peninsula

The prediction performance from 1977 to 1/31/2017 resulted in AR=68% (=44/65) and TR=22% (=44/197) for  $Mm_0=5.0$  by applying the best parameters ( $D=0.2$  degree,  $Mf_0=3.0$ ,  $Tf=3$  days,  $Nf=3$ , and  $Ta=5$  days) obtained for the period of 1977-6/30/2013.

### 3) North-central Nagano Prefecture

The prediction performance from 1998 to 1/31/2017 resulted in AR=45% (=5/11) and TR=11% (=8/70) for  $Mm_0=5.0$  by applying the best parameters ( $D=0.1$  degree,  $Mf_0=2.0$ ,  $Tf=1$  day,  $Nf=5$ , and  $Ta=5$  days) obtained for the period of 1998-2014.

### 4) Central Kyushu District

The prediction performance from 1970 to 1/31/2017 resulted in AR=31% (=4/13) and TR=6.5% (=3/46) for  $Mm_0=5.0$  by applying the best parameters ( $D=0.1$  degree,  $Mf_0=3.0$ ,  $Tf=10$  days,  $Nf=3$ , and  $Ta=12$  days) obtained for the period of 1977-3/31/2016.

### 5) San-in District

The prediction performance from 1977 to 1/31/2017 resulted in AR=24% (=5/21) and TR=11% (=4/37) for  $Mm_0=5.0$  by applying the best parameters ( $D=0.1$  degree,  $Mf_0=3.0$ ,  $Tf=1$  day,  $Nf=2$ , and  $Ta=24$  days) obtained for the period of 1977-12/31/2016.

### 6) Inland of Japan

The prediction performance from 1977 to 1/31/2017 resulted in AR=12% (=23/190) and TR=4.6% (=30/657) for  $Mm_0=5.0$  by applying the same parameters for off the Izu peninsula region.

キーワード：地震予知、前震、統計、予測効率

Keywords: earthquake prediction, foreshocks, statistics, performance

# Earthquake modeling incorporating non-seismic data

## Earthquake modeling incorporating non-seismic data

\*韓 鵬<sup>1</sup>、庄 建倉<sup>1</sup>、服部 克巳<sup>2</sup>、尾形 良彦<sup>1</sup>

\*Peng Han<sup>1</sup>, Jiancang Zhuang<sup>1</sup>, Katsumi Hattori<sup>2</sup>, Yoshihiko Ogata<sup>1</sup>

1. 統計数理研究所、2. 千葉大学

1. The Institute of Statistical Mathematics, Tokyo, Japan, 2. Chiba University, Japan

Although early studies suggested a certain amount of precursory information in both earthquake catalogs and non-catalog observations, the earthquake forecast is still far from satisfactory at present. In most case, the precursory phenomena were studied individually. An earthquake model that combines self-exciting and mutually exciting elements was developed by Ogata and Akaike from the Hawkes process. The core idea of this combined model is that the status of the event at present is controlled by the event itself (self-exciting) and all the external factors (mutually exciting) in the past. In essence, the conditional intensity function is a time-varying point process, which is composed of the background rate term, the self-exciting term (the information from past seismic events), and the external excitation term (the information from past non-seismic observations). This model shows us a way to integrate the catalog-based forecast and non-catalog-based forecast. Meanwhile, measurements of electromagnetic fields and GPS ground deformations have documented accumulative signals associated with large earthquakes during the past few decades. To date, a large number of statistical investigations have shown the correlation between these signals and large earthquakes. As an attempt, we are trying to develop new earthquake models which incorporate information from both earthquake catalog and non-seismic observations.

キーワード : Earthquake modeling、 the self-exciting and mutually exciting model、 GPS ground deformation、 geo-electromagnetic variations

Keywords: Earthquake modeling, the self-exciting and mutually exciting model, GPS ground deformation, geo-electromagnetic variations

# A Mechanism Causing the Temporal Variation in b-values Prior to a Mainshock

\*Jeen-Hwa Wang<sup>1</sup>

1. Academia Sinica

Observations exhibit the temporal variation in b-values prior to a mainshock. The b-value starts to increase from the normal value at time  $t_1$ , reaches its peak one at time  $t_2$ , then begins to decrease from the peak one at  $t_2$ , and returns to the normal one at time  $t_3$ . As  $t > t_3$ , the b-value varies around the normal one or rightly decreases with time until the occurrence of the forthcoming mainshock at time  $t_4$ . The precursor time,  $T = t_4 - t_1$ , of b-value anomalies prior to a forthcoming mainshock is related to the magnitude,  $M$ , of the event in a form:  $\log(T) = q + rM$  ( $T$  usually in days) where  $q$  and  $r$  are two constants. In this study, the mechanism causing b-value anomalies prior to a mainshock is explored. From numerical simulations based on the 1-D dynamical spring-slider mode proposed by Burridge and Knopoff (1967), Wang (1995) found a power-law correlation between  $b$  and  $s$ , where the parameter  $s$  is the ratio of the spring constant ( $K$ ) between two sliders to that ( $L$ ) between a slider and the moving plate. The power-law correlation are  $b \sim s^{-2/3}$  for the cumulative frequency and  $b \sim s^{-1/2}$  for the discrete frequency. Since  $L$  of a source area is almost constant for a long time period,  $b$  directly relates to  $K$ . Lower  $K$  results in a higher b-value. Wang (2012) found  $K = \rho_A v_p^2$ , where  $\rho_A$  and  $v_p$  are, respectively, the areal density and P-wave velocity of a fault zone. Experimental results show that  $v_p$  is strongly influenced by the water saturation in rocks. The water saturation in the source area varies with time, thus leading to a temporal variation in  $v_p$  as well as  $K$ . This results in the temporal variation in b-values prior to a mainshock. The modeled result is consistent with the observation.

Keywords: earthquake precursor, b-value, precursor time, earthquake magnitude, water saturation, spring-slider model

# On high-frequency energy release by aftershocks of several inland large earthquakes in Japan

\*澤崎 郁<sup>1</sup>、汐見 勝彦<sup>1</sup>

\*Kaoru Sawazaki<sup>1</sup>, Katsuhiko Shiomi<sup>1</sup>

1. 防災科学技術研究所

1. National Research Institute for Earth Science and Disaster Resilience

Detection of aftershocks occurring immediately after a large earthquake is generally difficult because their waveforms overlap in seismograms. Therefore, it takes usually more than one day before a reliable aftershock forecasting becomes available from a conventional catalog-based method. This method is often too late for the largest aftershock that tends to occur within one day after the mainshock. To overcome this defect, in this study, we propose another approach that detects high-frequency energy release by all the aftershocks occurring from immediately after the mainshock. In this approach, instead of detecting the aftershocks one by one, we estimate a continuous energy release by the aftershocks. By applying an inversion scheme to envelope of continuous seismograms of Hi-net, we estimate spatiotemporal distribution of energy release by the aftershocks. For saturated Hi-net records, we alternatively use KiK-net records that co-located with the Hi-net sensor, which enables us to use data of wide dynamic range from microseisms to strong ground motion. In theory, the estimated energy release is not disturbed by “missing” of the event detection. So far we apply this inversion scheme to 8 inland large earthquakes occurred in Japan, where their  $M_j$  ranges from 6.3 to 7.4. The target frequency range of the seismogram is from 4 to 20 Hz.

The estimated cumulative energy normalized by their mainshock energy distributes from 0.017 to 1.37. This normalized cumulative energy (NCE) does not show clear dependence on the mainshock energy. NCE represents relative productivity of aftershocks: the aftershock activity is closer to “swarm” type rather than “mainshock-aftershock” type when NCE is larger. Among the analyzed 8 events, the 2004 Chuetsu earthquake is the only case whose NCE exceeds 1, which means the energy released by its aftershocks exceeds the energy released by the mainshock. As expected from the Omori-Utsu law, amount of energy release is larger at earlier lapse times. By the first 1 hour after the mainshock, 9 % to 73 % of the aftershock energy released within 7 days is released. This percentage becomes 10 % to 79 % and 28 % to 96 % by the lapse times of the first 3 hours and 6 hours, respectively. For 5 of the 8 analyzed events, more than half of the 7 days’ aftershock energy is released within the first 6 hours. This result strongly suggests that using energy release by aftershocks within the first several hours is essential to improve the method of aftershock forecasting.

キーワード：余震、高周波エネルギー輻射、Hi-net、リアルタイム余震予測

Keywords: aftershocks, high-frequency energy release, Hi-net, realtime aftershock forecasting

## An extensive study of clustering features of seismicity in Italy during 2005 to 2016

## An extensive study of clustering features of seismicity in Italy during 2005 to 2016

\*庄 建倉<sup>1,4</sup>、郭 一村<sup>2</sup>、Murru Maura<sup>3</sup>、Falcone Giuseppe<sup>3</sup>、Taroni Matteo<sup>3</sup>、Console Rodolfo<sup>3</sup>  
\*Jiancang Zhuang<sup>1,4</sup>, Yicun Guo<sup>2</sup>, Maura Murru<sup>3</sup>, Giuseppe Falcone<sup>3</sup>, Matteo Taroni<sup>3</sup>, Rodolfo Console<sup>3</sup>

1. 統計数理研究所、2. 東京大学地震研究所、3. Istituto Nazionale di Geofisica e Vulcanologia、4. 総合研究大学院大学  
1. Institute of Statistical Mathematics, 2. Earthquake Research Institute, University of Tokyo, 3. Istituto Nazionale di Geofisica e Vulcanologia, 4. the Graduate University for Advanced Studies

Compiled by INGV, the ISIDE catalog includes high quality records of the occurrence times, locations, and magnitude of earthquakes that occurred in the Italy region since 2005-4-16. To study the characteristics of seismicity in Italy, we use the space-time ETAS model and several extended forms. Our results show: Seismicity clustering features are quite different from region to region. According to the ETAS parameters, the whole study region can be divided into four subregions.

The rupture geometries of large earthquakes, including the 2009-4-6 M6.3 L'Aquila, the 2012-5-20 M6.1 Emilia, the 2016-0-24 M6.0 Amatrice, the 2016-10-30 M6.2 Norcia earthquakes, control the spatial locations of their direct aftershocks. These direct aftershock aftershock mainly concentrate near, some parts close to the parts on the rupture plane with large slips but seldom overlap with them, indicating that aftershocks are the continuation of the rupture process of the mainshocks.

When the focal depth is considered in seismicity modeling, improved probability forecasting of seismicity and hazard assessment can be obtained.

キーワード : ETAS model、aftershock sequence

Keywords: Earthquakes in Italy , space-time modelling



## Bridging Geodesy and Seismology to study fault slip behavior in space and time

\*Henriette Sudhaus<sup>1</sup>, Sebastian Heimann<sup>2</sup>, Marius Paul Isken<sup>1</sup>, Simon Daout<sup>1</sup>, Andreas Steinberg<sup>1</sup>, Hannes Vasyura-Bathke<sup>3</sup>

1. Working group geophysics and geoinformatics, Institute of Geosciences, Kiel University, Kiel, Germany, 2. Section of Physics of Earthquakes and Volcanoes, GFZ German Research Center for Geosciences Potsdam, Potsdam, Germany, 3. Crustal Deformation and InSAR Group, King Abdullah University of Science and Technology (KAUST), Thuwal, Saudi-Arabia

Finite rupture models of past large earthquakes provide insights to rupture physics and the gained information feeds into rupture simulations and simulated ground-motion. An obstacle are the commonly high uncertainties corresponding to hazard-relevant rupture characteristics like precise fault location, rupture dimension, slip or moment rate and rupture velocity. More robust inferences of rupture models with reduced uncertainties can be achieved through a combination of near-field and far-field data such as strong-motion, GPS and InSAR surface displacement data and broadband teleseismic data, respectively. Because GPS and strong-motion observations depend on dense local instrument networks, a global coverage of onshore crustal earthquakes is realized only by combining space-borne InSAR data and broadband seismic waveform observations.

Additionally, InSAR time series data can be used to measure interseismic fault loading and stress-releasing aseismic fault slip, like fault creep or postseismic afterslip. Taken together these observations reveal slip deficits and earthquake potential at fault systems. The consideration of the latter is important in strain-based earthquake forecasts.

We present our ongoing work in the German young researcher group project “Bridging Geodesy and Seismology” ([www.bridges.uni-kiel.de](http://www.bridges.uni-kiel.de)) to facilitate the combined use of InSAR data and seismic waveforms to model seismic finite rupture processes in a harmonized modeling framework. To do so we extend the existing open-source seismology community toolbox `pyrocko` ([www.pyrocko.org](http://www.pyrocko.org)) by modules that allow for the additional use of near-field static offsets in combination with far-field seismic waveforms for finite rupture modeling.

The relationship between fault slip at depth and surface displacement is highly non-linear and the fault continuation at depth is not precisely known. As a consequence, best-fit model solutions are often highly ambiguous. For studying the coseismic slip, fault afterslip, creep and/or interseismic fault loading we therefore need to explore a large model parameter space and estimate model parameter trade-offs, e.g. between fault geometry at depth and slip potential, and uncertainties of model parameters. To do so as realistically as possible we use Bayesian modeling approaches which includes the propagation of correlated data error. We are working on also propagating the medium model uncertainties that have been shown to significantly bias the estimation of rupture parameters.

Our goal is to facilitate combined-data finite rupture modeling for more robust earthquake source estimations, to find best-practice modeling standards and to provide examples for enriched earthquake catalog data of finite sources with their model probabilities. We want to use these to better understand the resolution limits on earthquake source characteristics from surface data and possibly strengthen source scaling relations. Furthermore, we are interested in the properties of aseismic slip and loading to

improve the knowledge the seismic potential.

This research is supported by the German Research Foundation DFG.

Keywords: earthquake source, earthquake source modeling, InSAR, seismic cycle, earthquake hazard, rupture modeling

## Beyond Omori: Continuous mapping of energy release during the Kaikoura Mw7.8 earthquake sequence

\*Bill Fry<sup>1</sup>, Matthew Charles Gerstenberger<sup>1</sup>, Honn Kao<sup>2</sup>

1. Tectonophysics Department, Natural Hazards Division, GNS Science, New Zealand, 2. Natural Resources Canada, Pacific Geoscience Center

The Omori Law, has greatly influenced the field of seismology since its publication in 1894. The law describes the fundamental nature of earthquakes as patterns of a large earthquake followed by aftershocks. The modified-Omori Law remains a cornerstone of modern seismology more than 100 years after its discovery. This law is based on the assumption that aftershock generation processes are represented by traditional catalogues of earthquake magnitudes and locations. There are many reasons to believe this fundamental assumption is wrong. We have begun to delve deeper into the understanding of these earthquake processes by looking beyond catalogues. We quantify basic physical measurements that directly relate ground motions recorded by seismometers on the Earth surface to energy released within the earth. By quantifying this energy release through time and space, we are attempting to develop a more accurate view of the seismological process by circumventing problems associated with standard earthquake catalogues.

Omori decay has been shown to vary significantly between earthquake sequences. Often, the modified-Omori Law is combined with the Gutenberg-Richter Relation to describe not just the decay in numbers of earthquakes, but also the distribution of earthquake magnitudes. It is our controversial contention that the use of traditional earthquake catalogues to define these relations is inadequate because of three main shortcomings. 1) Quantifying the huge number of discrete earthquakes in the seconds and minutes following a big earthquake is at least difficult, and perhaps impossible. 2) The different magnitude scales used to quantify earthquake are inconsistent. For example, Richter magnitude calculation works well for small earthquakes, but not large ones; Moment magnitude calculation works well for large earthquakes but not small ones. Unfortunately, Richter magnitude and moment magnitude measure different quantities. 3) Traditional earthquake catalogues do not detect many newly discovered 'slow earthquakes'. Many of these slow earthquakes release seismic energy, but that energy is also not captured by traditional magnitude measures. We attempt to overcome these limitations by quantifying the behaviour of the Earth during an earthquake sequence by looking at the most fundamental measurement of Earth's deformation, the release of energy. To do this, we back project ground motions recorded by seismometers to continuously quantify the amount and location of energy released by the earth. As back projection is a non-unique solution to the energy radiation problem, we impose mapping criteria to minimize the duplicate counting of large energy envelopes.

The recent Kaikoura Mw7.8 earthquake is an ideal earthquake sequence on which to test our idea. It is unique in that it affected both crustal (shallow) and subduction (deep and shallow) faults, triggering widespread aftershocks, tremor, and slow earthquakes. We will present a time-dependent model of energy release throughout this sequence and compare it to models based on the Omori Law and discuss implications of this comparison on triggering and earthquake clusters.

Keywords: earthquake energy, Kaikoura Earthquake, back projection, aftershock, Omori, earthquake statistics



# Is the $b$ value of foreshocks an effective signature in the prediction of a large earthquake occurrence?

\*楠城 一嘉<sup>1</sup>、吉田 明夫<sup>2</sup>

\*Kazuyoshi Nanjo<sup>1</sup>, Akio Yoshida<sup>2</sup>

1. 静岡県立大学、2. 静岡大学

1. University of Shizuoka, 2. Shizuoka University

The Gutenberg-Richter frequency-magnitude distribution of earthquakes is now well established in seismology. The slope of the relation between frequency and magnitude ( $b$  value) is typically 1, but it often shows variations around 1. Spatial and temporal changes in  $b$  are thought to reflect stress state in the Earth's crust (Schorlemmer et al., 2004, 2005). In this context, such observations that  $b$  values of foreshocks (earthquakes that occur immediately before large earthquakes, close to the hypocenters) are lower than the typical  $b = 1$  have been considered to indicate that areas near hypocenters of large earthquakes are under high stress. However, it has remained uncertain whether  $b$  values of foreshocks are significantly low compared to those of stationary seismicity and aftershocks in the area, so as that the  $b$  value can be used as an indicator of impending large earthquakes.

In order to clarify the above-described issues, we have conducted a systematic investigation into  $b$  values of foreshocks of large earthquakes in and around the Japanese islands. Here we show preliminary results obtained by the investigation. We used the earthquake catalog of the Japan Meteorological Agency (JMA). The criteria adopted to define a main shock is that a larger earthquake does not occur in the previous  $y_1$  days and within a distance  $L$ . In addition, a larger earthquake must not occur in the selected area in the following  $y_2$  days. We used  $L = 100$  km,  $y_1 = 3$  days and  $y_2 = 0.5$  days. In our preliminary analysis, mainshocks were chosen from earthquakes with a magnitude ( $M$ ) of 5-6 that occurred in and around the Japanese islands during 1995-2016. Foreshocks are all events occurring in the preceding time interval of  $t = 3$  days and within a circle of radius  $R$  km from the mainshock epicenter. We chose  $R = 10, 30,$  and  $50$  km. These  $R$  values are equivalent to 2, 6, and 10 fault lengths of  $M5-6$  class earthquakes, respectively. In choosing these parameter values, we referred Lippiello et al. (2015). Further study will be required to define appropriate parameters.

Schorlemmer et al. (2005) found that normal faulting events have the highest  $b$  values, thrust events the lowest and strike-slip events intermediate values. We used information on the focal mechanisms from the F-net Broadband Seismograph Network database to classify main shocks as strike-slip, thrust or normal events. We then stacked foreshocks for each of the types of mainshock faulting and computed  $b$  values of foreshocks corresponding to each of the styles of faulting. We found that  $b$  value of foreshocks varies systematically according to the rake angle of faulting and the result is consistent with that obtained by Schorlemmer et al. (2005). We plan to conduct a more thorough investigation into the  $b$  values of foreshocks. We are going to expand our analysis to offshore earthquakes, including the 2011 Tohoku-Oki earthquake (Nanjo et al., 2012; Tormann et al., 2015), before which a very clear decrease in the  $b$  value to as low as  $b = 0.5$  was observed near the hypocenter.

キーワード：グーテンベルグ・リヒターの法則、断層、応力

Keywords: the Gutenberg-Richter frequency-magnitude law, faulting, stress

## Significant Decrease of Seismicity in the Northeastern Margin of the Japan Sea after the Mega Thrust Event on Mar. 11, 2011

\*松浦 律子<sup>1</sup>、石辺 岳男<sup>1</sup>、津村 建四朗<sup>2</sup>

\*Ritsuko S. Matsu'ura<sup>1</sup>, Takeo Ishibe<sup>1</sup>, Kenshiro Tsumura<sup>2</sup>

1. 公益財団法人地震予知総合研究振興会地震調査研究センター、2. 公益財団法人地震予知総合研究振興会

1. Earthquake Research Center, Association for the Development of Earthquake Prediction, 2. Association for the Development of Earthquake Prediction

Since Matsu'ura (1986) first showed the quantitative way to detect relative quiescence in seismicity during aftershock sequences, the relative quiescence of seismicity has been a good index to prognosticate the occurrence of large earthquakes. Ogata (1988) extended it to the wider area with the proposal of ETAS model as the quantitative expression of the ordinary seismicity. Since we have the reliable catalogue of earthquakes of M6.0 and larger in and around Japan for more than 130 years, we could detect the largest relative quiescence lasting for 10 years in the area east off Tohoku district, prior to the M9.0 2011 earthquake off the Pacific coast of Tohoku (Matsu'ura, 2008). Here we report the current significant decrease of seismicity in the northeastern margin of the Japan Sea area. The 2011 earthquake off the Pacific coast of Tohoku dramatically changed the seismicity in and around Japan, especially in the northeastern Japan. For example, many shallow earthquakes now frequently occur in the north Ibaraki area and Iwaki area, where the seismicity had been very low before the 2011 mega thrust event. The area currently showing the relative quiescence includes the seismic gap between the source areas of M7.7 1983 central part of the Japan Sea earthquake and M7.8 1833 Tenpo off Dewa district earthquake, without any known large event for the recent 400 years (e.g. Matsu'ura et al, 2012). Since JMA finished the revision of the hypocenter catalogue, which had been delayed after 2011, by the end of March in 2014, we used the JMA catalog for the period from October 1997 to January 2017. To avoid the intentional area or event selections, we firstly analyzed the whole earthquakes of M=2.5 and larger and depth=60km and shallower within the wide area of (37°N, 137°E) – (41.6°N, 140.1°E), where some major activities before 2011 and induced events after 2011 are included. Even though, it is apparent that the seismicity decreased since 2013 in that wide area. If the analyzed area is set narrower around the gap, it becomes clearer. In the figure 4(A) of Ogata (2011) showed the apparent relative quiescence prior to the M7.7 1983 event and M7.8 1993 event in the same region. We cannot conclude now whether the current quiescence represents the same physical status as those prior to 1983 and 1993 cases, or just shows the weakened compressional stress field in the northeastern margin of the Japan Sea due to the 2011 event. However, we should watch the area and search other clue to obtain the answer.

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キーワード：地震活動度の相対的静穏化、日本海東縁部、秋田沖の空白域

Keywords: Relative Quiescence of Seismicity, Northeastern Margin of the Japan Sea, Seismic gap off Akita City

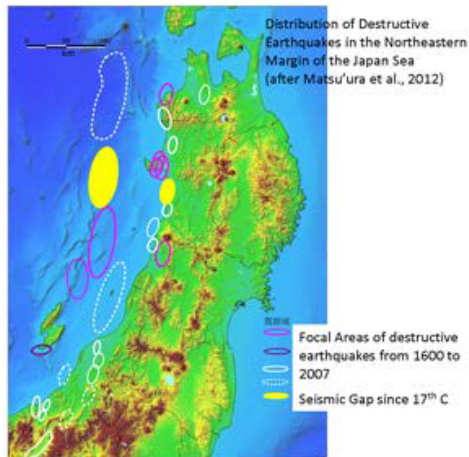


Fig. 1(a) Distribution of focal areas of the recent 400 years in the northeastern margin of the Japan sea and the gaps.

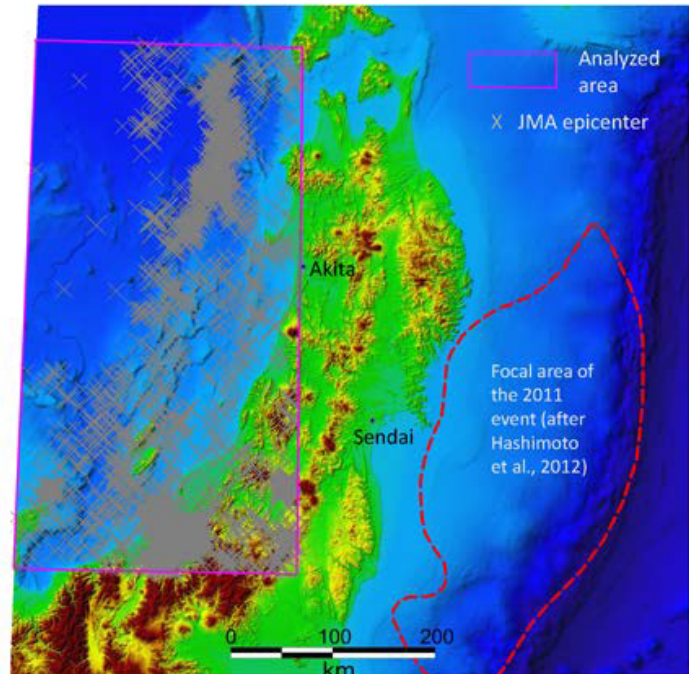


Fig. 1(b) Epicenters of counted events in (c). The focal area of M9.0 event in 2011 is also shown. Earthquakes of  $M \geq 2.5$  and  $Depth \leq 60$  km in the area are all used in (c).

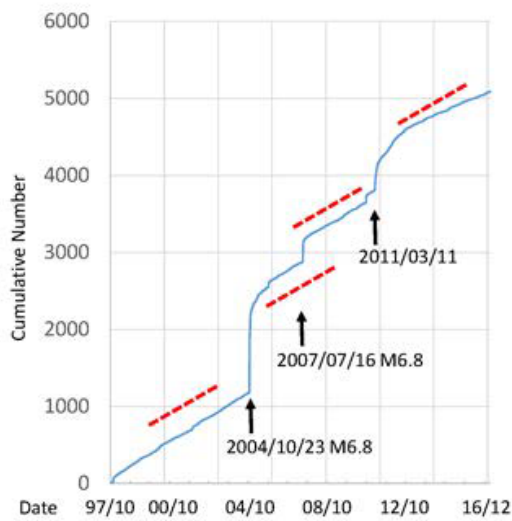


Fig. 1(c) Cumulative number of earthquakes since 1997 Oct. 1st. Times of two large events within the analyzed area shown in (b) and the M9.0 are shown by arrows. All red broken lines show the same occurrence rate.

# Earthquake forecast modelling for the Mw 7.8 Kaikoura Earthquake and triggered Slow Slip Events

\*Matt Gerstenberger<sup>1</sup>, David Rhoades<sup>1</sup>, Annemarie Christophersen<sup>1</sup>, David Harte<sup>1</sup>, Bill Fry<sup>1</sup>, Sara McBride<sup>1</sup>, Stephen Bannister<sup>1</sup>

## 1. GNS Science

The November 14th, 2016, Mw 7.8 Kaikoura, New Zealand earthquake affected a large part of central New Zealand. The event ruptured around 12 independent faults and caused significant damage and shaking in many areas. This has meant that recovery effort has also been distributed over a very large area and has required a new approach to the dissemination of information about the potential for future shaking. We have provided forecasting information that targets a broad range of end-users who have become increasingly sophisticated in their use of the forecast information. Our information has ranged from aftershock probability tables through to detailed and specific engineering information. Additionally, the main shock triggered three slow slip events (SSE) on the Hikurangi subduction zone that were unique in character in our approximately 20 years of observations; these SSE provided a difficult challenge to the on going forecasting efforts and required a new approach to incorporate the effect of the SSE.

As is typical in such aftershock sequences, data quality issues have provided a challenge to the forecast modelling. The models we have applied are based on our past work and have used a hybrid of the STEP, ETAS and EEPAS models to produce the forecasts. An important change has been the use of the negative binomial distribution, constrained by ETAS simulations (Harte, 2013), to describe the uncertainty in the STEP rates. These uncertainties were also used to produce stochastic events sets for use in hazard calculations for engineering decisions (e.g., forecast design spectra as compared to the design standard or probabilities of landslide). To date the aftershock productivity has been low when compared to average New Zealand aftershock behavior.

Keywords: New Zealand, earthquake forecasting, slow slip event



## 最大の地震と2番目に大きな地震のマグニチュードの差に基づく地震活動予測

### Earthquake forecast based on a magnitude difference between the largest and the second largest earthquakes

\*山科 健一郎<sup>1</sup>

\*Ken'ichiro Yamashina<sup>1</sup>

1. none

1. none

筆者はかつて、それぞれの地震系列について最大地震と次に大きな地震のマグニチュードMの差をとって統計的に検討し、その差が小さな系列は、もっと大きな地震が起こる予兆かもしれないことを示した（山科, JPE, 29, 1981a; Maurice Ewing Ser. 4, 1981b）。例えば、その差が0.4以下（ただし2番目の地震が最大地震に先行するとき）または0.2以下（逆のとき）の場合、引き続いてもっと大きな地震が起こる確率は20~30%程度であった。これに対して、その差が大きいと、もっと大きな地震が起こる確率はごく小さい。

それ以後30年以上が経過して、たくさんの事例が追加された。例えば2008年茨城県沖地震（M7.0）はM6.4, M6.3に先行されたが、引き続いてM7.0前後の地震が起こるのではないかと事前に懸念することができた。2016年の熊本県の地震（M7.3）の場合も、本震に先行してM6.5, M6.4が起きている。一方、イタリア・ラクイラ地震（M6.3）でもM4.1（3月30日）、M3.9（4月5日；本震の数時間前）が先行しているから、大きな被害を出した本震について、発生の可能性を指摘することに利用できたかもしれない。

典型的な場合、最大余震は、本震よりもかなり小さい。最大と2番目に大きい地震のMの差は、その活動が通常の本震余震活動とみなせるかどうかを知るよい指標なのだろうと考えられる。Mの差に基づく活動予測の確度は大きくないが、いろいろな観測データを詳しく見直したり、不測の災害への備えについて点検するきっかけとして利用したりできれば意義深い。なお、最大と2番目の大きさの地震のMの差について、野村俊一博士他が詳しい統計的解析を進めつつある。こうした議論がいつそう発展することを期待したい。

キーワード：地震活動予測、マグニチュードの差、最大地震、2番目に大きい地震

Keywords: earthquake forecast, magnitude difference, the largest earthquake, the second largest earthquake

# Distinguish Mainshock from Foreshock with Polarity of Stress Field

## Distinguish Mainshock from Foreshock with Polarity of Stress Field

\*新妻 信明<sup>1</sup>

\*Nobuaki Niitsuma<sup>1</sup>

1. Institute of Geosciences, Shizuoka University

1. Institute of Geosciences, Shizuoka University

This presentation formalizes “Polarity of Stress Field” and points out the importance in dynamics, relating to distinguish Mainshock from Foreshock.

Focal mechanisms are important to understand dynamic process of seismic activities and available from Japan Meteorological Agency, around Japanese Islands.

Lateral changes in the type of focal mechanism can be observed, for example, normal fault type to lateral fault type in the Pacific Slab along Japan Trench after 2011/3/11 East Japan Megaquake M9.0. The change can be explained by the axes change between neutral N axis & compressive P axis, caused by reducing of vertical compressive stress less than horizontal N component with shallowing. We have to consider the axes exchange for the analysis on focal mechanism.

The difference of the focal mechanism can be quantified with Euler Rotation to fit the 3 orthogonal principal axes between reference and testing focal mechanisms. We can analyse focal mechanism with consideration on axes exchange, to compare not only original but also axes exchanged testing mechanism with reference.

Focal mechanism has 6 cases of principal axes position for fixed directions. The 4 cases can be realized with direct axes exchange. However exchange between compressive P and tensile T Axes reverses the polarity of stress field from the other direct exchange cases. Additional 2 cases need two-step axes exchange and have reversed polarity of stress field.

In the case of the axes exchange with the minimum Euler rotation angle is normal polarity of stress field, we use the Euler rotation angle as stress field polarity angle, but in the case of reversed polarity we use the subtraction of the Euler rotation angle from 180.

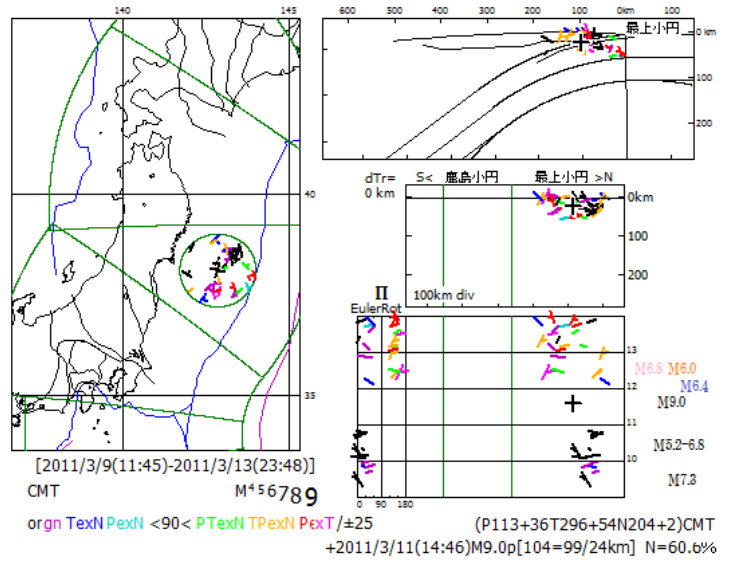
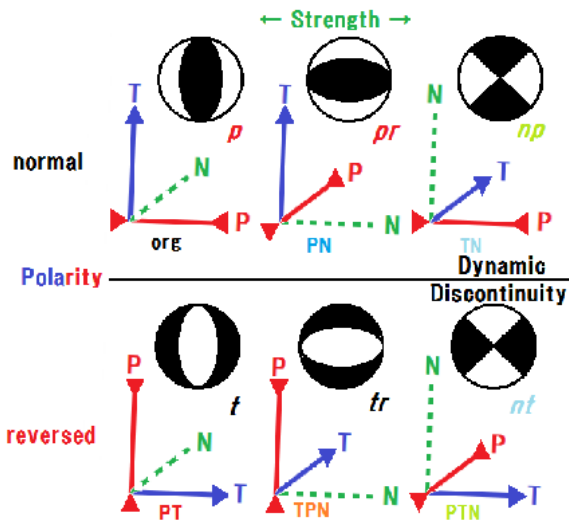
The reversal of stress field polarity represents dynamic discontinuity in space and time. The polarity might be kept until main rupture, corresponding to Mainshock of Earthquake.

Reversals of the polarity have been recognized just after the East Japan Mega M9.0, Hamadohri M7.0, Kumamoto M7.4 and Tottori M6.6 Earthquakes.

We conclude the polarity of stress field can be used to distinguish Mainshock from Foreshock.

キーワード : Polarity of Stress Field、 Foreshock、 Mainshock、 Focal Mechanism、 Principal Axes Exchange、 Euler Rotation

Keywords: Polarity of Stress Field, Foreshock, Mainshock, Focal Mechanism, Principal Axes Exchange, Euler Rotation



## Quantitative Analysis of Seismicity before Large Taiwanese Earthquakes Using G-R Law

\*Hsien-chi Li<sup>1</sup>, Chien-hsin Chang<sup>2</sup>, Chien-chih Chen<sup>1</sup>

1. Nat. Central Univ., 2. CWB

Since the seismicity was identified as one example of natural nonlinear systems of which the distribution of frequency and earthquake magnitude follows a power law called “Gutenberg-Richter (G-R) law”, the parameters of power law, i.e. the  $b$ - and the  $a$ -value, have been widely used in many researches about seismic hazards, earthquake forecasting model and many other topics. The plausibility of power-law model, or the applicability of parameter correspondingly, is crucial in these researches and is mainly verified by the statistical error  $\sigma$  of  $b$ -value in past, however, the effectiveness of  $\sigma$  remains doubtful. In this research, we used “ $p$ -value”, which was derived from an approach developed by Clauset et al. (2009), to deal with the problems mentioned above and had verified its effectiveness as a reliable plausibility index of the power-law model. Furthermore, we also verified the effectiveness of the K-S statistics as a goodness-of-fit test in estimating another crucial parameter, cut-off magnitude, which must be determined before estimating the parameters of power-law model.

Keywords: G-R law, Kolmogorov-Smirnov statistic, K-S test

## Different performance of an earthquake model for different types of earthquakes?

\*井元 政二郎<sup>1</sup>、藤原 広行<sup>1</sup>

\*Masajiro Imoto<sup>1</sup>, Hiroyuki Fujiwara<sup>1</sup>

1. 防災科学技術研究所

1. National Research Institute for Earth Science and Disaster Resilience

An earthquake probability model may perform differently for different types of earthquakes (shallow or deep, interplate or intraplate, fault types, etc.). Imoto et al. (2016) reported that the model for moderate earthquakes in Kanto, central Japan with the parameters of a and b values in the Gutenberg-Richter formula have been tested and resulted in a probability gain exceeding five for interplate earthquakes, but this is not the case for intraplate earthquakes. In this paper, we examine a model with the a-value parameter. We selected about 60 targets of moderate size that occurred in a zone between 5km above and 20km below the Pacific plate surface from 2000 to 2014. The a value is defined as the number of earthquakes with magnitude of 2. and larger that occurred within 20km in radius and ten years of the point of interest. Comparing two distributions of a-values, the conditional (point of a target earthquake) and the background distributions, the model may pass the L-test. However, the conditional distribution of interplate earthquakes differs from the expected distribution, suggesting that our model performs better for interplate earthquakes than for intraplate ones.

キーワード：プレート間地震、中規模地震、a値、地震確率、関東、日本

Keywords: Interplate earthquake, Moderate earthquake, a-value, Earthquake probability, Kanto, Japan

## Earthquake recurrence parameters along Chile: zone and zone-less approach

\*Natalia Zamora<sup>1</sup>, Patricio Catalán<sup>1,2</sup>, Alan Poulos<sup>1,3</sup>

1. National Research Center for Integrated Disaster Management (CIGIDEN), 2. Technical University Santa Maria (USM), 3. Pontifical Catholic University of Chile (PUC)

Chile is one of the most tectonically active countries in the world, where earthquakes of magnitude  $M_w > 8.0$  occur, on average, every 10 years. Estimating the probability of earthquake occurrence in time and space represents one of the most relevant steps for assessing probabilistic seismic hazard and other associated hazards. The aim of this study is to use different statistical techniques to assess earthquake recurrence parameters in Chile for apparent low frequency crustal events and higher frequency interplate and intraslab seismicity. In this work we study the relevance and effect of using different methods to fit the earthquake data assuming seismo-tectonic segmentation models or instead the zone-less approach based on a spatially smoothed seismicity. Based on a seismic catalog that spans over 100 years, we propose earthquake recurrence rates that can be used to assess seismic and tsunami hazard along Chile.

Keywords: Earthquake rates, Seismotectonic models, Spatially smoothed seismicity, Chile

## Joint algorithm of multiple forecast models

\*Yu-Sheng Sun<sup>1</sup>, Chien-Chih Chen<sup>1</sup>, Hsien-Chi Li<sup>1</sup>, Ling-Yun Chang<sup>1</sup>, Hong-Jia Chen<sup>1</sup>, Zheng-Kai Ye<sup>1</sup>

1. National Central University, Taiwan (Republic of China)

Complexity process and indirect observation make earthquake forecast difficult, but it is an important issue for the lives and property. Many theories are proposed to forecast earthquake, but they are more or less still under debate. However, probability is the most popular mode to describe what cannot predict deterministically such as weather. Through the probabilistic way, this study combines three different forecast models to improve performance. The first model is Pattern Informatics (PI) which analyzes the changing of seismic activity nearby epicenter before great earthquake occurs. The second one based on seismic recurrence considers seismic activity changing to discuss the probability for quiescence state by non-homogeneous Poisson forecast model. The third model is GEMSTIP algorithm that applies the time of increased probability (TIP) concept on the geoelectric monitoring system (GEMS). This model suggests that there are statistical correlations between the geoelectric system and earthquakes. Each model has its own property of resolution on space or time, and therefore we try to capture the merits from different models to increase the ability of forecast.

Keywords: forecast, Pattern Informatics, quiescence, geoelectric, seismic activity

## Gravity effect before Lijiang 7.0 earthquake In 1996

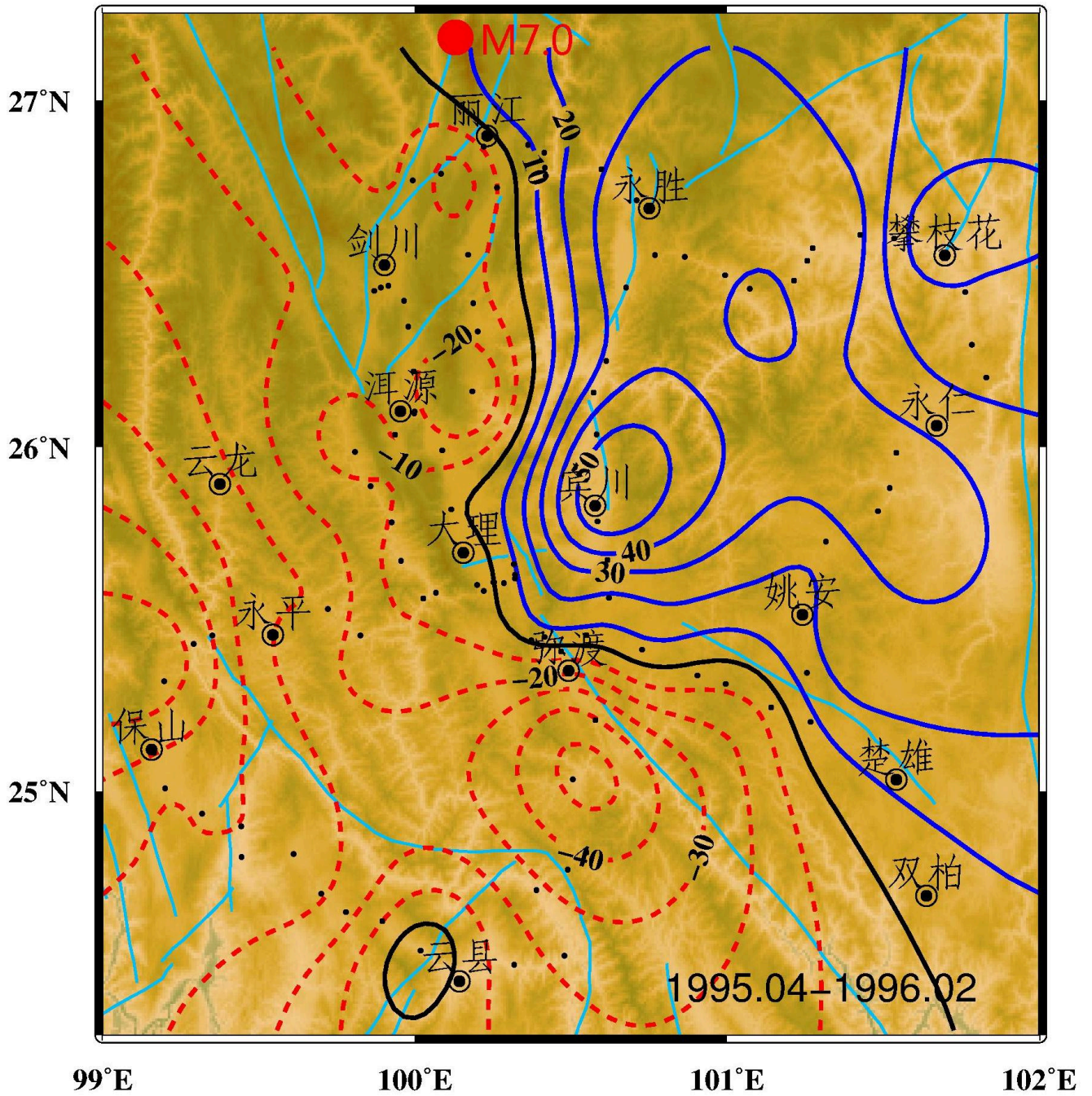
\*WEIFENG LIANG<sup>1</sup>, YIQING ZHU<sup>1</sup>, GUOQING ZHANG<sup>1</sup>

1. Second Crust Monitoring and Application Center, China Earthquake Administration

In this study, gravity data before Lijiang 7.0 earthquake in 1996 are adjusted to a unified benchmark, gravity change image before the earthquake are analyzed, the results show: before the earthquake, during 1989.02-1992.04 (figure a), gravity cumulative changes smoothly, and within  $30 \times 10^{-8} \text{ ms}^{-2}$ ; During 1992.04-1995.04 (figure b), gravity cumulative change is very severe, in the process of that gravity changes from positive to negative from south to north, by the trend in the northern part of the studied area appeared  $70 \times 10^{-8} \text{ ms}^{-2}$  local gravity anomaly zone, abnormal zone diameter greater than 150 km. From the perspective of a 6 years scale 1989.02-1995.04 (figure c), the gravity accumulation of positive - negative differences still exist, gravity cumulative change is more intense, and along the line crossing Eryuan, Dali, and Midu, the gravity change with positive and negative differences, positive and negative difference maximum  $150 \times 10^{-8} \text{ ms}^{-2}$ ; During 1995.04-1996.02 (figure d), the gravity changes reverse, the earthquake occur in the process of reverse gravity changes.

Keywords: gravity change, Lijiang, earthquake





# The Comprehensive Analysis and Research of Recent gravity and the Crustal Deformation in Northeastern edge of the Tibetan Plateau

\*YIQING ZHU<sup>1</sup>, WEIFENG LIANG<sup>1</sup>, GUOQING ZHANG<sup>1</sup>

1. Second Monitoring and Application Center, China Earthquake Administration

In this study, we systematically analyzed the relationship between regional gravity changes, 3D crustal deformation, regional tectonic environment and strong earthquakes based on the relative gravity measurements (2011-2014), GPS data and the background vertical deformation from the leveling measurements conducted from 1970 to 2011. Subsequently, we further characterized the temporal-spatial patterns and discussed the mechanism of regional gravity changes and the crustal deformation. It can be summarized in the following: 1) The regional gravity changes, the GPS-derived horizontal deformation and the vertical deformational obtained from leveling data showed a intense spatial relationship: the gravity increasing along with the direction of horizontal movement, and the gravity decreasing with the crustal uplift and vice versa, which reflected the inherited characteristics of neotectonic activities. 2) The crustal deformation was closely related to the active faults. The contour lines of gravity changes and vertical deformation were generally along with the Qilian-Haiyuan fault (strike is NWW), and the crustal horizontal deformation showed left-lateral strike slip motion near the Qilian-Haiyuan fault. 3) The strong earthquakes usually occur in the active faults where the gravity changes intensely, as well as the vertical and/or horizontal deformation is intensely. The extrusion deformation, surface compression rate and gravity changes were obvious near the epicenter of 2016 Menyuan Earthquake. The 2013 Minxian Ms6.6 earthquake occurred in the direction-turning area of intense gravity gradient, and the transitional area of surface compression and vertical deformation. The first author of this paper has made a medium-term forecast before the Minxian and Menyuan earthquakes, especially the location of the earthquake. Based on the above understandings, we emphasized that: there are still possibilities of strong or huge earthquakes within medium-long term in parts of crustal deformation anomalies in the study area.

Keywords: Northeastern edge of the Tibetan Plateau, gravity change, leveling measurement, Tectonic activity